

12/06/01

J1135 U.S. PTO

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PTO/SB/05 (2/98)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

WAVE1110-1

First Inventor or Application Identifier

Gary Cole

Title

System and Method for Managing Information Objects

Express Mail Label No.

EL925165212US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ Fee Transmittal for FY 2002  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] **23**  
(preferred arrangement set forth below)
- x - Descriptive Title of the Invention
  - x - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Description of Related Art
  - x - Field of the Invention
  - x - Summary of the Invention
  - X - Brief Description of the Drawings (if filed)
  - X - Detailed Description
  - x - Claim(s)
  - x - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **4**
4. ☒ Oath or Declaration (unexecuted) [Total Pages] **2**
- a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference (useable if box 4b is checked).  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer-Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney (unexecuted)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☒ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mail; \$487.00 Check;

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

☒ Claims the benefit of Provisional Application No. 60/251,627 filed on 12/6/00 and 60/251,952 filed on 12/7/00

## CORRESPONDENCE ADDRESS:

Customer Number Label:

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25094

PATENT TRADEMARK OFFICE

TYPED or PRINTED NAME John L. AdairREGISTRATION NO. 48,828SIGNATURE [Signature]Date 12/06/01

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Unknown
Filing Date	December 6, 2001
First Named Inventor	Gary Cole
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	WAVE1110-1

**TOTAL AMOUNT OF PAYMENT** (\$487.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0456

Deposit  
Account  
Name

Gray Cary Ware & Freidenrich LLP

- ☒ Charge Any additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status  
See 37 CFR 1.27

- ☒ **Payment Enclosed:**

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$370.00)

### EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
33	-20** =	13	X	9	=	117.00	
Independent Claims	3	-3** =	0	X	42	=	0.00
Multiple Dependent			X	140	=	0	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	80	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$117.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$0.00)

## SUBMITTED BY

Name (Print/Type)

John L. Adair

Signature

Registration No.  
(Attorney/Agent)

48,828

Complete (if applicable)

Telephone

512-457-7142

Date

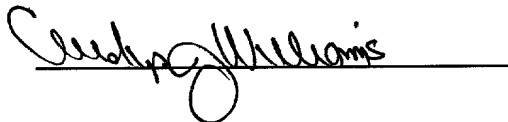
12/6/01

<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	
<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b>	Atty Docket No. (Optional) <b>WAVE1110-1</b>
<b>Attn: Box Patent Application</b> Hon. Commissioner for Patents Washington, D.C. 20231	In the Application of: <b>Gary Cole</b>
	Date Filed: <b>December 6, 2001</b>
	Title: <b>System and Method for Managing Information Objects</b>

Sir:

I hereby certify that the attached Utility Patent Application Transmittal, Fee Transmittal, Utility Patent Application, Check in the amount of \$487.00 to cover the patent application filing fee, Unexecuted Declaration and Power of Attorney, Informal Drawings, and postcard are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL925165212US on December 6, 2001 addressed to, Box Patent Applications, Assistant Commissioner for Trademarks, P.O. Box 2327, Arlington, VA 22202-3513.<sup>1</sup>

Respectfully submitted,  
**Gray Cary Ware & Freidenrich LLP**



<sup>1</sup> Pursuant to the instructions from the U.S. Patent Office on November 20, 2001, all Patent Office mail is to be directed to the attention of the U.S. Trademark Office in Virginia until further notice.